

# Computer-Aided Patient-Specific Coronary Artery Graft Design Improvements Using CFD Coupled Shape Optimizer

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**Abstract**—This study aims to (i) demonstrate the efficacy of a new surgical planning framework for complex cardiovascular reconstructions, (ii) develop a computational fluid dynamics (CFD) coupled multi-dimensional shape optimization method to aid patient-specific coronary artery by-pass graft (CABG) design and, (iii) compare the hemodynamic efficiency of the sequential CABG, i.e., raising a daughter parallel branch from the parent CABG in patient-specific 3D settings. Hemodynamic efficiency of patient-specific complete revascularization scenarios for right coronary artery (RCA), left anterior descending artery (LAD), and left circumflex artery (LCX) bypasses were investigated in comparison to the stenosis condition. Multivariate 2D constraint optimization was applied on the left internal mammary artery (LIMA) graft, which was parameterized based on actual surgical settings extracted from 2D CT slices. The objective function was set to minimize the local variation of wall shear stress (WSS) and other hemodynamic indices (energy dissipation, flow deviation angle, average WSS, and vorticity) that correlate with performance of the graft and risk of re-stenosis at the anastomosis zone. Once the optimized 2D graft shape was obtained, it was translated to 3D using an in-house “sketch-based” interactive anatomical editing tool. The final graft design was evaluated using an experimentally validated second-order non-Newtonian CFD solver incorporating resistance based outlet boundary conditions. 3D patient-specific simulations for the healthy coronary anatomy produced realistic coronary flows. All revascularization techniques restored coronary perfusions to the healthy baseline. Multi-scale evaluation of the optimized LIMA graft enabled significant wall shear stress gradient (WSSG) relief (~34%). In comparison to original LIMA graft, sequential graft also lowered the WSSG by 15% proximal to LAD and diagonal bifurcation. The proposed sketch-based surgical planning paradigm evaluated the selected

coronary bypass surgery procedures based on acute hemodynamic readjustments of aorta-CA flow. This methodology may provide a rational to aid surgical decision making in time-critical, patient-specific CA bypass operations before *in vivo* execution.

**Keywords**—Surgical planning, Coronary artery, Bypass graft, CFD, Hemodynamics, Shape optimization, Sequential graft, WSS, WSSG, Surgical design.

## INTRODUCTION

Statistics from the American Heart Association identify coronary heart diseases (CHD) as the principal cause of morbidity and mortality in the western world.<sup>14,42</sup> Major causes of CHD include atherosclerosis and complications related to congenital cardiac defects. Atherosclerosis involves the agglomeration of fatty substances, cholesterol, and other deposits on the inner lining of an artery together with transverse growth of smooth muscle cells (i.e., arteroma). This results in reduced blood flow and other pathological complications.<sup>42</sup>

Bypass conduits provide an alternative route around critically blocked arteries. Current surgical anastomosis techniques and the design of synthetic coronary artery bypass grafts (CABG) frequently lead to post-surgical complications such as intimal thickening, restenosis, and eventual long term graft failure. Failure presents in 5–20% of patients within 1–5 years, and approximately 50% of patients within 10 years after CABG surgery.<sup>5</sup> Pathological hemodynamic states are usually precursors of intimal hyperplasia or platelet deposition and may result in graft occlusion.<sup>6,13,57</sup> From a fluid mechanics perspective,

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83 abnormalities in coronary flow include recirculation  
 84 zones, low/oscillating shear stresses, vortices, and areas  
 85 of stagnation within the CABG. These parameters  
 86 relate to the variation in strain rate within the conduit,  
 87 which in turn are influenced strongly by the shape of  
 88 the flow domain.<sup>28</sup> Therefore, in order to improve the  
 89 success of the surgery, the optimal anastomosis  
 90 geometry and angle have been actively researched.  
 91 Walsh *et al.*<sup>53</sup> demonstrated that the use of cuffs (i.e.,  
 92 Miller cuff) and patches (i.e., Taylor patch) can sig-  
 93 nificantly reduce abnormal wall shear stress (WSS) and  
 94 wall shear stress gradient (WSSG) by up to 60% in  
 95 patient-specific models, when compared to a conven-  
 96 tional distal end-to-side anastomosis. Studies based on  
 97 idealized femoral bypass geometries provided a better  
 98 insight on the influence of various design parameters  
 99 such as the advantage of an acute anastomosis angle,  
 100 i.e., 10–20°,<sup>3,11</sup> creating enlarged lumen sections  
 101 around the toe region to reduce WSS parameters and  
 102 enable smooth transition of the flow from graft to host  
 103 artery,<sup>3,22,23</sup> and influence of the proximal artery  
 104 flow.<sup>3,20</sup> Apart from these local design considerations,  
 105 the bulk shape of the bypass conduits has received little  
 106 attention. Based on the rapid variation of high and low  
 107 wall shear stress along the sinusoidal shaped vessel  
 108 geometries, ill-shaped grafts may also be prone to  
 109 atherosclerosis development.

110 Computational fluid dynamics (CFD) provide a  
 111 viable tool for pre-surgical planning and device design,  
 112 and for improving the design of surgeries and inter-  
 113 ventions used in cardiovascular medicine.<sup>33,35,40,49–51</sup>  
 114 Coupled with accurate reconstructions of anatomical  
 115 data (via magnetic resonance imaging, angiograms, or  
 116 computational tomography),<sup>12</sup> CFD simulations pro-  
 117 vide the ability to quantify local hemodynamics and  
 118 allow evaluating the performance of surgical design  
 119 templates<sup>26,27,37</sup> and candidate endovascular devices.<sup>58</sup>

120 Anatomical three-dimensional shape editing is one  
 121 of the major challenges of the pre-surgical planning  
 122 paradigm as cardiovascular geometries involve non-  
 123 uniform vessel caliber and curvature, and conduits  
 124 require complex multiple inlet–outlet geometries,  
 125 which cannot be easily modified by combinations of  
 126 mathematically simple binary operations or shape  
 127 primitives offered by state-of-art CAD software.<sup>19</sup>  
 128 We introduced the first generation ‘interactive’ surgi-  
 129 cal planning tool, SURGEM,<sup>36</sup> which incorporates  
 130 a two-hand haptic interface to freely deform, bend  
 131 and position 3D surgical baffles real-time. This  
 132 shape-morphing tool has been used successfully  
 133 *in routine basis* to aid the pre-surgical decision mak-  
 134 ing process of congenital heart surgeries before the  
 135 *in vivo* execution.<sup>37,49</sup> Integration of a *sketch-based*  
 136 3D platform<sup>16–18</sup> now expands the capability of  
 137 ‘interactive’ anatomy-editing systems by replacing the

expensive haptic user interface with an easy-to-access 138  
 digital sketch-based modeling environment, i.e., tablet, 139  
 that takes user strokes as input, and seamlessly con- 140  
 verts them into precise three-dimensional (3D) geo- 141  
 metric data. This allows surgeons to construct and edit 142  
 anatomical structures directly in 3D precisely the way 143  
 they envisage on a two dimensional (2D) image. 144

To date, CFD has been utilized primarily for iden- 145  
 tifying an optimal design, based on trial-and-error, 146  
 among a small number of geometrical variations and 147  
 intuitive design alternatives. More recently, several 148  
 investigators have demonstrated the benefits of cou- 149  
 pling computer simulations with numerical shape 150  
 optimization to provide cost-effective methods for the 151  
 design of the medical devices,<sup>1,15</sup> surgical connec- 152  
 tions,<sup>25</sup> and particularly CABGs.<sup>2,39,43</sup> The challenges 153  
 associated with CFD coupled shape optimization for 154  
 clinical problems have been identified previously by 155  
 Marsden *et al.*<sup>25</sup> 156

Current CABG design paradigms target improved 157  
 hemodynamics to achieve reduced hyperplasia at the 158  
 distal anastomosis region by modulating the anasto- 159  
 mosis angle<sup>1</sup> and vessel curvature<sup>39,43</sup> in simplified 2D 160  
 tubular conduits. Studies incorporating out-of-plane 161  
 features reported notable variations in end-to-side 162  
 anastomosis hemodynamics.<sup>31,47</sup> Hence, although 163  
 in-plane (2D) optimization is appropriate to identify 164  
 the primary design features, an accurate assessment on 165  
 the CABG hemodynamics requires patient-specific 3D 166  
 anatomical information for reliable feedback. 167

One objective of this study was to demonstrate the 168  
 efficacy of a novel sketch-based surgical planning 169  
 framework for complex cardiovascular problems, and 170  
 to develop a CFD coupled multi-dimensional shape 171  
 optimization method to aid patient-specific CABG 172  
 design. Hemodynamic efficiency of patient-specific 173  
 complete revascularization scenarios for right coronary 174  
 artery (RCA), left anterior descending artery (LAD) 175  
 and left circumflex artery (LCX) bypasses were inves- 176  
 tigated in comparison to the stenosis condition. Single 177  
 objective multivariate constraint optimization was 178  
 applied to improve the patient-specific design of the 179  
 end-to-side anastomosis of left internal mammary 180  
 artery (LIMA) to the distal site of the stenosed LAD. 181  
 The 2D optimization procedure was comprised of 182  
 geometry creation, parameterization, mesh generation, 183  
 finite element (FEA) solution, and design optimization. 184  
 Multiple hemodynamic indices including the local 185  
 gradient of WSS, space-averaged WSS, energy effi- 186  
 ciency, and recirculation at the anastomosis zone were 187  
 selected as cost functions for the optimization problem. 188  
 The optimized 2D graft shape was re-evaluated in a 189  
 patient-specific 3D setting to validate the efficacy of the 190  
 2D optimization methodology. In addition, hemody- 191  
 namic efficiency of the sequential grafting strategy was 192

193 analyzed in comparison to the standard single CABG  
 194 configuration. Sequential grafting is a routine surgical  
 195 method of raising multiple branches from a parent  
 196 bypass graft when atherosclerotic occlusions involve  
 197 more than one coronary artery (CA). Clinically, local  
 198 hemodynamic adjustments after sequential grafts  
 199 remain unclear, and there is no established preference  
 200 for single or sequential graft bypass.<sup>41</sup>

## 201 METHODS

### 202 Reconstruction of Patient Anatomy

203 Patient-specific anatomy of a 54-year-old patient  
 204 with CHD was acquired from post-op computerized  
 205 tomography (CT) images as shown in Fig. 1. The  
 206 patient underwent a conventional coronary bypass  
 207 operation to restore the myocardial perfusion through  
 208 three stenosed major coronary arteries; RCA, LAD,  
 209 and LCX. End-to-side anastomosis of two saphenous  
 210 vein grafts and LIMA graft, which is the gold standard  
 211 based on the higher potency rate,<sup>41</sup> were performed on  
 212 RCA, LCX, and LAD, respectively. CT images with  
 213 20 lp/cm (~0.25 mm) in-plane resolution and 0.5 mm  
 214 spacing (400 2D slices) were pre-processed using a  
 215 free DICOM viewer, DicomWorks (Lyon, France)  
 216 to optimize image contrast. Aorta-coronary geo-  
 217 metry (excluding the grafts) was reconstructed using  
 218 Simpleware-Scan IP (Simpleware Ltd., Innovation  
 219 Centre, Exeter, UK). 3D volumetric reconstruction of

the aorta-coronary model was refined in Geomagic  
 (Geomagic Inc., NC, USA) to improve the surface grid  
 resolution. This protocol was successfully demon-  
 strated in earlier studies on complex cardiovascular  
 anatomies.<sup>12,34,55</sup>

To demonstrate the efficacy of our in-house ana-  
 tomical editing tool, 3D CABGs (LAD, LCX, LIMA,  
 and sequential grafts) were created virtually based on  
 the post-op CT patient data. This interactive surgical  
 planning platform is based on the robust shape-  
 morphing principles introduced earlier,<sup>36</sup> and incor-  
 porates B-spline curves for generating highly flexible  
 tubular conduits. The sketch-based computer interface  
 allows surgeon/operator to use pen strokes to modu-  
 late the in-plane design features (i.e., curvature, prox-  
 imal, and distal anastomosis points) of the 3D tubular  
 conduit, while preserving all out-of-plane features that  
 reside on the remaining planes. Hence, rotating the  
 view plane surgeon can switch between on multiple  
 design planes and perform the desired 3D shape  
 adjustments. This 'iterative multi-plane shape-design'  
 approach allows generating realistic bypass grafts  
 virtually under 10 min of user time.

### CFD Coupled 2D Shape Optimization

The two-dimensional (2D) optimization framework  
 incorporated a CFD-based evaluation of the cost  
 function into the optimization algorithm in an auto-  
 mated fashion (Fig. 2). User defined subroutines were  
 used to generate the desired CABG shape variations

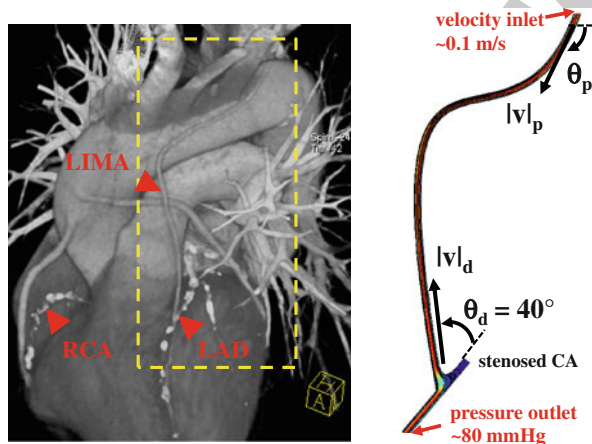


FIGURE 1. Post-operative CT scan of the complete revascularization procedure (left). Right coronary artery (RCA), left anterior descending artery (LAD), and left internal mammary artery (LIMA) are marked with arrows. The area inside the dashed lines indicates the anatomical section of 2D shape optimization. In-plane geometrical features of the LIMA graft were extracted from the CT image (right). In-plane optimization of the LIMA graft model was based on four design parameters; the proximal ( $\theta_p$ ) and distal ( $\theta_d$ ) anastomosis angles, proximal ( $v_p$ ) and distal curvature vectors ( $v_d$ ). Inlet and outlet boundary conditions are labeled on the 2D model plotted on the left.

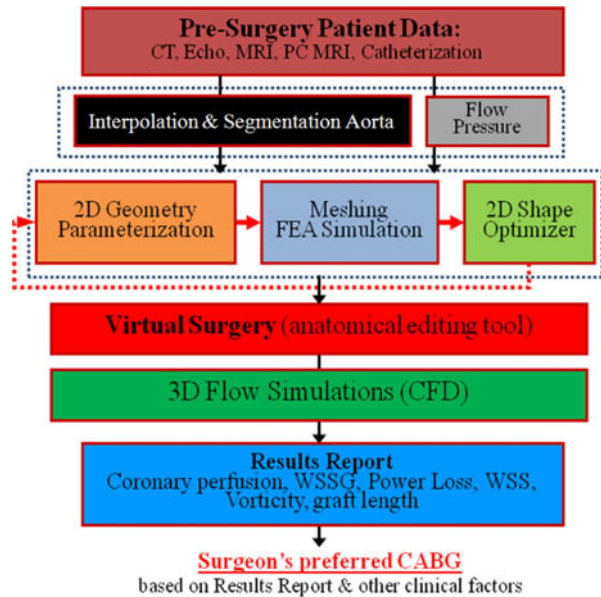


FIGURE 2. Flowchart of the proposed pre-surgical planning framework. This workflow enables cyber-generated intelligence to aid surgical decision making for patient-specific CABG procedures.

249 and to allow a robust communication between the  
250 Optimization Toolbox of MATLAB (Mathworks Inc.,  
251 Natick, MA) and the FEA solver of FEMLAB  
252 (COMSOL Inc., Burlington, MA).

253 The optimization procedure was applied to the  
254 LIMA graft, which was parameterized based on actual  
255 surgical settings extracted from static 2D CT images as  
256 shown in Fig. 1. The CABG was simplified as a 2D  
257 cylindrical tube for the in-plane optimization. The  
258 scaffold of the coronary vessel was based on a third-  
259 order Bezier curve whose shape was dictated by four  
260 design parameters ( $s$ ): the proximal ( $\theta_p$ ), and distal ( $\theta_d$ )  
261 anastomosis angles and proximal ( $v_p$ ) and distal cur-  
262 vature vectors ( $v_d$ ). The latter two parameters defined  
263 the curvature of the conduit away from the anasto-  
264 mosis regions. The final 2D axisymmetric tube was  
265 formed by extruding the vessel walls in the normal  
266 directions along the centerline. In order to consider the  
267 hemodynamics of the proximal anastomosis zone, the  
268 LAD artery was also included in the optimization  
269 model as a static 2D cylindrical tube with corre-  
270 sponding patient-specific vessel caliber and orientation  
271 based on the CT image. Second-order Bezier curves  
272 were used to smooth geometric perturbations and  
273 sharp-corners at the anastomosis zone.

274 The 2D geometry of the anastomosis region was  
275 discretized using unstructured tetragonal mesh that  
276 enabled high mesh quality for dynamic shape alterations  
277 during optimization. Grid independence was ensured  
278 by comparing solutions at six refinement levels. The  
279 results presented in this manuscript were based on 9k  
280 triangular elements. In addition, local grid sensitivity  
281 checks were performed in order to guarantee grid  
282 quality proximal to the anastomosis zone. A steady state  
283 solver using the Petrov–Galerkin finite-element formu-  
284 lation was incorporated to solve the governing Navier–  
285 Stokes equations for each geometric configuration.

286 A parabolic velocity profile ( $V(S) = V_{\max} \cdot s(1 - s)$ )  
287 where  $V_{\max}$  is the maximum velocity in the core region  
288 and  $s$  is the arch length along the inlet boundary, was  
289 prescribed at the inlet boundary of the graft to yield the  
290 typical coronary flow velocity (0.1 m/s).<sup>10</sup> No slip  
291 boundary condition was enforced on the vessel walls  
292 and the proximal end of the LAD, which was assumed  
293 to be completely (100%) stenosed. No traction  
294 boundary condition was assigned at the distal end of  
295 LAD with a constant myocardial pressure of  
296 10 mmHg. In order to consider the non-Newtonian  
297 behavior of coronary blood in low shear regions,  
298 two blood rheology models (Ballyk and Carreau<sup>48</sup>)  
299 were implemented. Blood density was specified as  
300 1060 kg/m<sup>3</sup>. Simulations were performed on a dual-  
301 core T7200 computer with 2 GB of memory. Approx-  
302 imately 5 min were required to complete one converged  
303 steady state solution.

## Optimization Problem and Cost Functions

304  
305 The single objective multivariate constraint optimi-  
306 zation was performed using the Sequential Quadratic  
307 Programming (SQP) method. SQP provides efficient  
308 and accurate evaluation of constrained optimization  
309 routines<sup>46</sup> by attempting to solve for the Lagrange  
310 multiplier ( $\lambda$ ) directly. The search direction to achieve  
311 the global minima is provided by formulating a qua-  
312 dratic sub-problem based on a quadratic approxima-  
313 tion of the Lagrangian,  $L(s, \lambda)$

$$L(s, \lambda) = F(\vec{U}(s), s) + \sum_{i=1}^m \lambda_i g_i(s) \quad (1)$$

314 In the above formula,  $\vec{U}(s)$  and  $F(\vec{U}(s), s)$  refer to  
315 the flow solution and cost function evaluation,  
316 respectively. The bulk shape of the LIMA graft and  
317 distal anastomosis region was controlled using three  
318 ( $m = 3$ ) of the four design parameters,  $s$ :  $\theta_d$ ,  $v_p$ , and  $v_d$   
319 ( $\theta_p$  is fixed). Major anatomical constraints due to  
320 pulmonary vasculature, aorta, and heart and lungs  
321 were extrapolated from the 2D CT image (Fig. 1, the  
322 design plane) and incorporated in the optimization.  
323 Inequality constrains ( $g_i$ ), upper ( $s_u$ ), and lower ( $s_l$ )  
324 bounds for each design parameter were based on the  
325 2D anatomical constraints such that the altered LIMA  
326 graft geometry do not overlap with (i) the head–neck  
327 vessels of aorta at the proximal anastomosis, (ii) myo-  
328 cardial tissue on the left side of the distal anastomosis,  
329 and (iii) with the proximal pulmonary vasculature on  
330 the right. This allowed realistic geometric variations  
331 with no overlapping sections, i.e., to avoid singularity  
332 in shape. To converge upon the global minimum, initial  
333 conditions for all the design variables were altered  
334 within the allowable range set by the inequality  
335 constrains. Details of the optimization problem are  
336 formulated below.  
337  
338

$$\min_{S \in \mathbb{R}^m} F(\vec{U}(s), s)$$

where:

$$\vec{U} = \begin{cases} \vec{u}(s) \\ P(s) \end{cases} \begin{matrix} \text{(state variables),} \\ s \text{(design parameters)} \end{matrix}$$

$$s : v_d, v_p, \theta_d, \theta_p \quad (2)$$

subject to:

$$\rho \frac{D\vec{u}}{Dt} = -\nabla P + \nabla \cdot \eta(\nabla \vec{u} + \nabla \vec{u}^T) \quad \nabla \cdot \vec{u} = 0$$

$$g_i(s) \leq 0, \quad i = 1, 2, \dots, m$$

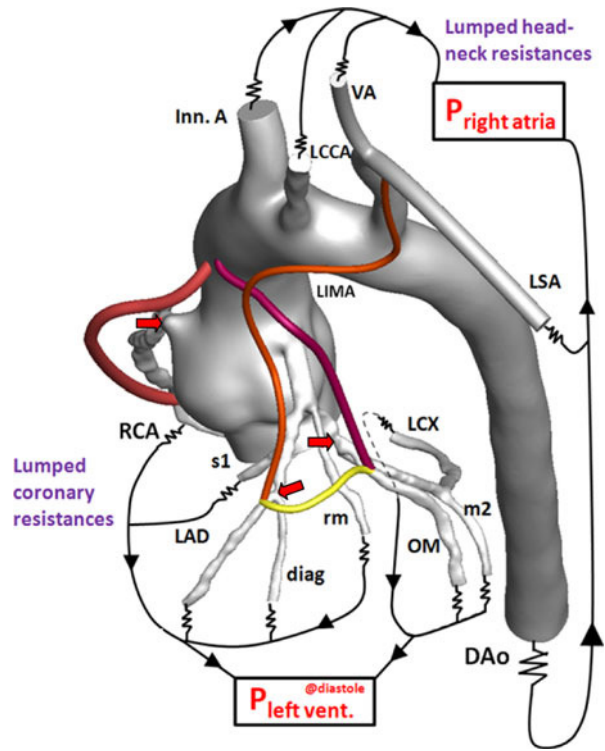
$$s_l \leq s \leq s_u$$

340 Based on both computational simulations and  
341 clinical observations as in Lei et al.<sup>23</sup> local spatial  
342 variation of wall shear stress (WSS) acts as a strong  
343

**TABLE 1. Summary of hemodynamic cost functions evaluated for CFD-coupled 2D CABG optimization.**

Cost function	Formulation
Velocity gradient based energy dissipation	$E_{\text{loss}} = \frac{1}{V} \int \phi dV, \quad \phi = \frac{1}{2} \mu \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right)$
Severity parameter	$SP = \frac{1}{A} \int \text{WSSG} ds,$ $\text{WSSG} = \sqrt{\left( \frac{\partial \text{WSS}}{\partial x} \right)^2 + \left( \frac{\partial \text{WSS}}{\partial y} \right)^2 + \left( \frac{\partial \text{WSS}}{\partial z} \right)^2}$
Pressure drop	$\Delta P = P_{\text{in}} - P_{\text{out}}$
Mean wall shear stress	$\text{WSS}_m = \frac{1}{A} \int \text{WSS} ds, \quad \text{WSS} = \mu (\Delta u + \Delta u)^T$
Flow deviation angle	$\phi = \frac{1}{V} \int  \text{dev}  dV, \quad \text{dev} = a \tan(u_{N-S}/u_S)$
Vorticity	$\zeta = \frac{1}{V} \int  \text{dev}^2  dV, \quad \omega = \nabla \times \vec{u}$

Severity parameter and WSS are integrated along the boundary surfaces ( $ds$ ) and normalized with total area ( $A$ ). Energy loss, flow deviation angle, and vorticity are space-averaged over the volume ( $V$ ). N-S and S refer to the Navier–Stokes and Stokes flow solutions.



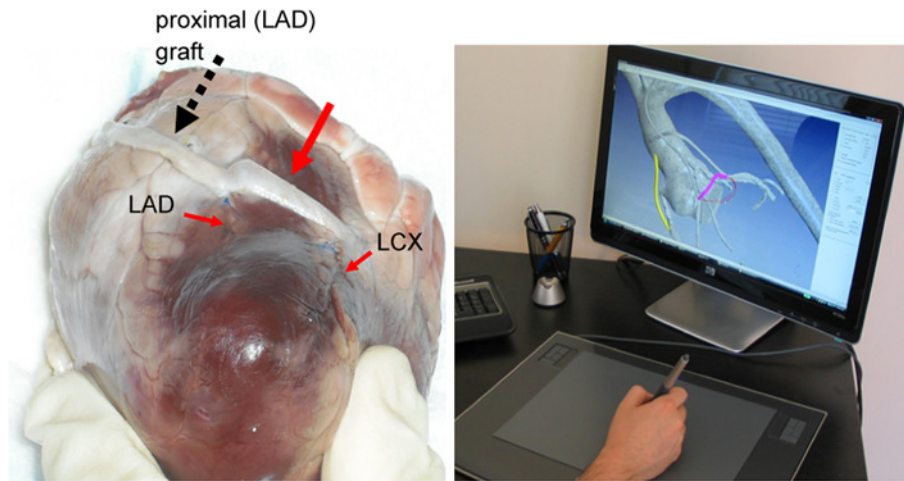
**FIGURE 3. Patient-specific 3D aorta-coronary artery model incorporated resistance outlet boundary conditions. Coronary and systemic circulation circuits were closed with the left ventricle and right atrial pressure in diastole, respectively. The aorta-coronary anatomy is comprised of right coronary artery (RCA), left circumflex artery (LCX), left anterior descending artery (LAD), obtuse marginal (OM), second marginal artery (m2), first septal artery (s1), diagonal artery (diag), ramus marginalis (rm), left internal mammary artery (LIMA), descending aorta (DAo), Innominate artery (Inn. A), left common carotid artery (LCCA), vertebral artery (VA), left subclavian artery (LSA). RCA (red), LIMA (orange), LCX (pink), and sequential grafts (yellow) were displayed color coded. Stenosis locations were marked with red colored arrows.**

344 determinant of myointimal hyperplasia. In our study,  
 345 severity parameter (SP), which quantifies the average  
 346 wall shear stress gradient (WSSG) at the anastomosis  
 347 zone, was chosen as the cost function, i.e.,  $F(\vec{U}(s), s)$ ,  
 348 for the optimization problem. As summarized in  
 349 Table 1, additional cost functions including the energy  
 350 loss ( $E_{\text{diss}}$ ) and pressure drop ( $\Delta P$ ) along the LIMA  
 351 graft, the average WSS ( $\overline{\text{WSS}}$ ), vorticity  $\zeta$ , and flow  
 352 deviation angle ( $\Phi$ ) at the anastomosis zone were also  
 353 evaluated.  $E_{\text{diss}}$  and  $\Delta P$  correlates with the energy  
 354 efficiency of the surgical conduit and have been used to  
 355 quantify the performance of the complex reconstructive  
 356 surgical connections.<sup>34,44</sup>  $\overline{\text{WSS}}$  is commonly recog-  
 357 nized as a major mechanical determinant of  
 358 vasoregulation and disease state.<sup>24</sup>  $\zeta$  and  $\Phi$  are the  
 359 measures of circulation and rotation in the fluid, thus  
 360 they highlight the regions with recirculation or turbu-  
 361 lence, which may cause pathologic vascular response  
 362 and blood trauma.<sup>15</sup>  $\Phi$  was calculated by integrating  
 363 the angle between the flow vectors evaluated by the  
 364 N-S solution and Stokes solution at the anastomosis  
 365 zone.

### 3D Hemodynamic Evaluation

366  
 367 Once the optimized 2D graft shape was obtained,  
 368 it was translated to 3D environment by using the  
 369 *in-house* anatomical editing tool. This procedure  
 370 involved three steps: (i) construction of the 2D scaffold  
 371 of the optimal graft geometry on the 2D design plane  
 372 (overlying the 3D aorta-coronary geometry) based on  
 373 four design parameters identified by the optimization,  
 374 (ii) modulation of the 2D geometry on multiple sketch  
 375 planes to maintain a realistic 3D curvature while  
 376 preserving the design parameters at the original 2D

design plane, (iii) generation of the 3D annular graft  
 geometry based on constant diameter surface lofting  
 Efficiency of the final graft design  
 was evaluated using the experimentally validated sec-  
 ond-order solver of Fluent (ANSYS Inc., PA) incor-  
 porating resistance boundary conditions that ensures  
 realistic flow distribution along the coronary artery  
 tree (Fig. 3). This solver was originally developed for  
 complex subject-specific anatomical flows.<sup>9,35,54,55</sup> The  
 3D flow domain was discretized using ~2 million  
 unstructured tetrahedral elements using Gambit 2.3.6  
 (ANSYS Inc., PA). To address the numerical stability  
 problems due to the high systemic resistances of multi-  
 outlet aorta-coronary anatomy (i.e., since even the  
 minute flow rate adjustments are transferred as large  
 pressure oscillations in 3D domain), an iterative  
 under-relaxation-based resistance boundary condition  
 was coupled to each outlet. At each inner iteration,  
 the pressure gradient calculated at the outlets was



**FIGURE 4.** A sequential graft (thick solid arrow) between the left anterior descending artery (LAD) and left circumflex artery (LCX) was created by mimicking the actions of the surgeon on a bovine heart template in the operating room (left) using the sketch-based in-house anatomical editing tool (right). Geometry of the 3D sequential graft geometry (pink colored conduit [right]) was adjusted based on pen-strokes of the operator on a sketch-based computer interface.

396 attenuated ten times to ensure smoother convergence.  
 397 Each resistance was coupled to the solver iteratively to  
 398 prevent divergence due multiple outlets. Vessel lumen  
 399 area was constricted by 50% proximal to all RCA,  
 400 LAD, and LCX in order to model the typical moder-  
 401 ate stenosis case. Aforementioned non-Newtonian  
 402 (shear-thinning) rheology models were compared with  
 403 reference Newtonian model based on non-Newtonian  
 404 importance factor,  $I_L$ <sup>48</sup> as shown below.

$$I_L = \frac{\mu}{\mu_\infty}, \quad \text{where } \mu_\infty = 0.00345 \text{ Pa s} \quad (3)$$

406 Alternative to the single LAD and LCX bypasses, a  
 408 sequential graft between the LAD and LCX was  
 409 created by mimicking the actions of the surgeon on a  
 410 template bovine heart, using the in-house anatomical  
 411 editing tool as shown in Fig. 4. Geometry of the 3D  
 412 sequential graft geometry was adjusted based on pen-  
 413 strokes of the operator on a sketch-based computer  
 414 interface. Hemodynamic efficiency of the LAD–LCX  
 415 sequential graft was evaluated in 3D patient-specific  
 416 settings. 3D WSS, WSSG, and pressure fields were  
 417 analyzed in detailed. Error spans reported for WSS  
 418 calculations were based on one standard deviation with  
 419 85% confidence interval.

## 420 RESULTS

### 421 Shape Optimization of the 2D LIMA Graft

422 Fully automated coupling between optimal shape  
 423 design and 2D non-Newtonian blood flow simulations  
 424 altered the CABG geometry through non-intuitive  
 425 LIMA graft alternatives to minimize the severity

parameter as shown in Fig. 5. Convergence was 426  
 achieved within 100 to 400 iterations depending on the 427  
 initial condition and the cost function. Convergence to 428  
 global minima depended strongly on the initial condi- 429  
 tion (i.e. up to ~10% variation in cost function 430  
 evaluation due to different initial condition) validating 431  
 our approach to use multiple initial conditions. For the 432  
 optimized 2D LIMA graft design, severity parameter 433  
 was found to be 58% less than the initial value that 434  
 was evaluated at the *in vivo* orientation of the patient- 435  
 specific LIMA graft. Table 2 shows that  $\Delta P$  and length 436  
 of the graft was decreased about 10%, whereas,  $\overline{WSS}$ , 437  
 $\Phi$ , and  $E_{diss}$  remained approximately constant. Flow 438  
 decelerated considerably (~40%) at the anastomosis 439  
 region with the enlargement of vessel caliber and 440  
 generated static recirculation zones close to the prox- 441  
 imal end of the stenosed LAD. Optimization with 442  
 respect to energy efficiency related cost functions, i.e., 443  
 $E_{diss}$  and  $\overline{WSS}$  translated the CABG geometry to a 444  
 lower curvature shape with reduced cord length. Sim- 445  
 ilarly, optimization with respect to recirculation 446  
 parameter,  $\Phi$  yielded a relatively *slack* shape by mini- 447  
 mizing the magnitude of the curvature vectors. Con- 448  
 vergence rate and stability of the solutions were 449  
 considerably slower due to the low gradient variation 450  
 of  $\Phi$  between intermediate iterations. 451

### 452 Evaluation of 3D Patient-Specific CABG Configurations

453 Detailed WSS and pressure distribution maps were  
 454 generated for each CABG configurations as shown in  
 455 Figs. 6a and 6b, respectively. For the healthy baseline  
 456 case, WSS was moderately high (~60 dynes/cm<sup>2</sup>) at the  
 457 entrance region of the left main (LM) coronary artery,

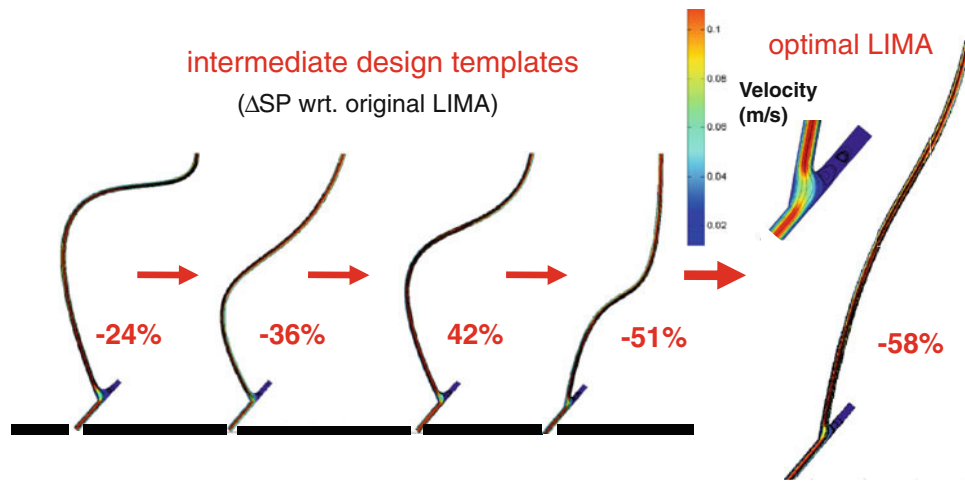


FIGURE 5. Summary of results from the CFD-coupled single objective multivariate 2D optimization for proximal anastomosis. Optimization altered the CABG geometry through non-intuitive LIMA graft alternatives to minimize the average wall shear stress gradient, i.e., severity parameter along the graft and host artery. The final graft shape converged to the minimum curvature state (curvature vectors,  $v_p$  and  $v_d$  minimized). Percentages indicate the variation of objective function (severity parameter, SP) between each geometric iteration with respect to the initial configuration.

TABLE 2. Variation of performance parameters between original and optimal LIMA graft configurations based on multivariate single objective, i.e., based on severity parameter (SP), CFD-coupled 2D shape optimization.

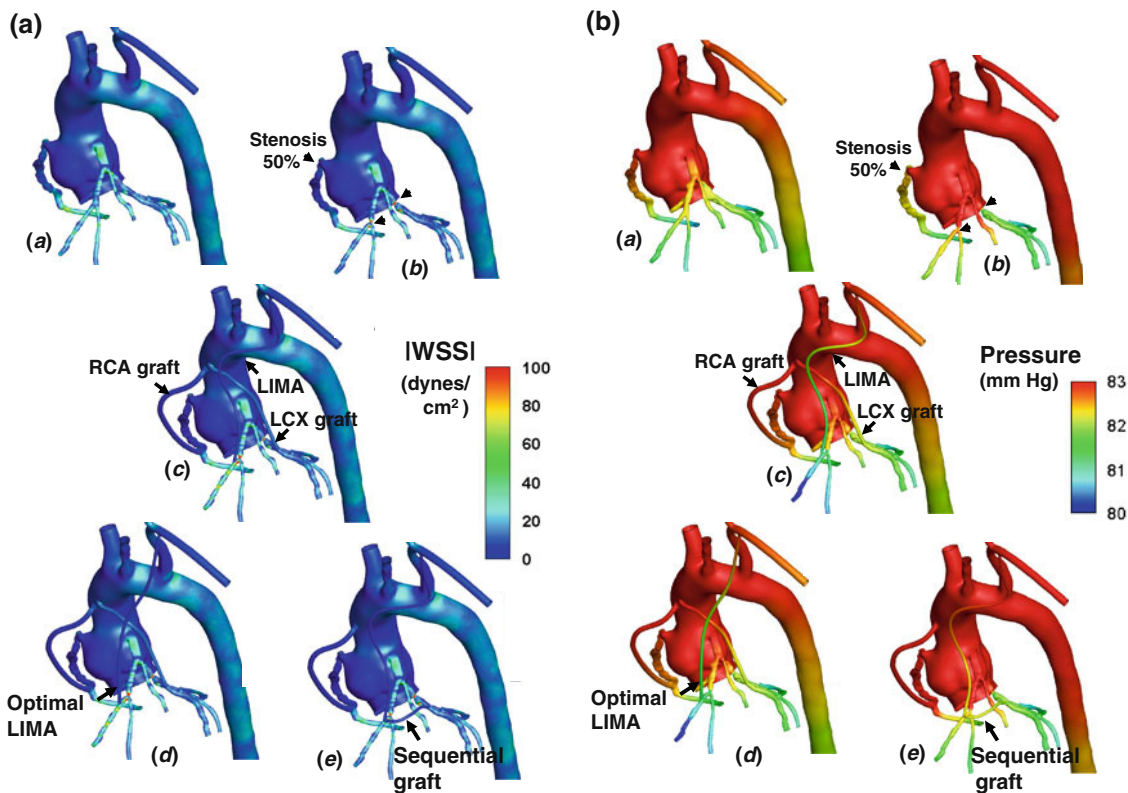
Original LIMA	%Variation	Optimal LIMA
$E_{diss} = 35.3 \text{ W/m}^3$	-0.5	$E_{diss} = 35.1 \text{ W/m}^3$
$SP = 703 \text{ N/m}^3$	-58	$SP = 300 \text{ N/m}^3$
$\Delta P = 11 \text{ mmHg}$	-12	$\Delta P = 9.6 \text{ mmHg}$
$WSS_m = 7.2 \text{ dyn/cm}^2$	-1.4	$WSS_m = 7.1$
$ \Phi  = 7.4^\circ$	-4	$ \Phi  = 7.1^\circ$
$\zeta^2 = 9448 \text{ s}^{-2}$	1	$\zeta^2 = 9551 \text{ s}^{-2}$
$L = 18.2 \text{ cm}$	-11	$L = 16.2 \text{ cm}$

Significant reductions in SP,  $\Delta P$ , and  $L$  are achieved by the shape optimization.  $E_{diss}$ , energy dissipation;  $\Delta P$ , pressure drop between proximal to distal ends of the graft;  $WSS_m$ , mean wall shear stress along the graft walls;  $\Phi$ : flow deviation angle;  $\zeta$ : vorticity parameter,  $L$ : cord length of the graft.

458 the downstream curvature of RCA, and proximal to  
 459 the bifurcation regions. Due to the regression of the  
 460 vessel caliber, WSS increased gradually along the distal  
 461 coronary artery tree. After the stenosis was introduced,  
 462 local WSS was elevated at periphery of the constricted  
 463 lumen and at opposing wall of the bifurcation down-  
 464 stream of the stenosis due to flow impingement.  
 465 Compared to the healthy case, average WSS on the  
 466 left main artery decreased by 22% after the stenosis  
 467 and remained at this level for all revascularization  
 468 scenarios. Space-averaged WSS on the bypass conduits  
 469 was  $6 \pm 2 \text{ dynes/cm}^2$ , which was significantly lower  
 470 ( $\sim 70\%$ ) than the average WSS calculated along the  
 471 healthy coronary arteries ( $\sim 22 \pm 4 \text{ dynes/cm}^2$ ). Ele-  
 472 vated WSS zones were localized at the toe region of  
 473 the distal anastomosis for RCA and sequential grafts,

474 whereas for the LIMA grafts, WSS distribution was  
 475 uniform and elevated peripherally around the distal  
 476 anastomosis zone. Addition of the sequential graft  
 477 between LAD and obtuse marginal lowered the local  
 478 WSS at the toe region LAD-LIMA anastomosis by  
 479 32% and average WSS along the LAD by 23%. LIMA  
 480 graft increased the WSS uniformly along LAD (by  
 481 13%) for both original and optimized LIMA graft  
 482 designs. After revascularization, WSS on the diagonal  
 483 artery remained constant (the same level after stenosis)  
 484 as LIMA graft flow followed solely the anterograde  
 485 flow path along the LAD without flow reversal toward  
 486 the proximal bifurcation.

487 As shown in Table 3, 3D patient-specific simula-  
 488 tions for the healthy coronary anatomy produced  
 489 realistic coronary flows, which agree well with the  
 490 previous ultrasound angiography measurements.<sup>4,56</sup>  
 491 After the moderate stenosis (50%) was introduced in  
 492 the computer, coronary perfusion was reduced nota-  
 493 bly, by 11, 14, and 24% along the LAD, LCX, and  
 494 RCA respectively. In contrast, the post-stenosis cor-  
 495 onary outlet pressure was increased only slightly  
 496  $\sim 1 \text{ mmHg}$  (Fig. 6b). Pressure distribution of the heal-  
 497 thy case was restored for all revascularization cases but  
 498 the sequential grafting case, where pressure at proxi-  
 499 mal sites of the RCA and LAD were slightly higher  
 500  $\sim 1 \text{ mmHg}$ . Relative flow variations within the first  
 501 branches of coronary tree were detailed in Table 3 for  
 502 each CABG configuration. For 50% area reduction,  
 503 all revascularization techniques restored the coronary  
 504 perfusions to the healthy baseline. LIMA graft shape  
 505 optimization resulted in negligible variation the cor-  
 506 onary perfusion along the arterial tree (less than 2%  
 507 change) as the coronary bed vascular impedance was



**FIGURE 6.** (a) Comparison of pressure distribution among the healthy (a), stenosed (b) and single graft revascularization (c), optimal LIMA graft (d), and sequential bypass grafting (e) configurations. (b) Comparison of pressure distribution among the healthy (a), stenosed (b), and single graft revascularization (c), optimal LIMA graft (d) and sequential bypass grafting (e) configurations.

**TABLE 3.** Coronary flow (mL) within the first level branches of coronary tree for the healthy and stenosed coronary arteries, the complete revascularization with single and sequential grafting configurations obtained from 3D CFD simulations.

CA branches	Healthy CA	Stenosed CA	Single graft revascularization <sup>a</sup>	Sequential graft LIMA-OM
Inno. A	554	479 (-13)	477 (-14)	475 (-14)
LCCA	125	129 (4)	128 (3)	128 (3)
VA	62	71 (14)	70 (13)	70 (13)
LSA	93	107 (15)	106 (14)	106 (14)
Total H-N	833	786 (-6)	782 (-6)	779 (-7)
s1	13	12 (-3)	12 (-4)	12 (-4)
LAD_main	32	28 (-13)	55 (69)	33 (3)
Diagonal	20	17 (-12)	17 (-13)	21 (4)
Total LAD	65	58 (-11)	84 (30)	66 (2)
RM	27	29 (5)	28 (3)	28 (4)
LCX_main	36	31 (-14)	37 (2)	37 (2)
OM	31	27 (-14)	32 (2)	32 (2)
m1	21	18 (-15)	21 (1)	21 (1)
Total LCX	88	76 (-14)	90 (2)	90 (2)
RCA	77	59 (-24)	79 (3)	80 (3)
DAo	3955	4039 (2)	3984 (1)	4004 (1)

Acute flow adjustments in coronary flow are presented as percent variation (%) from the healthy baseline anatomy is given in the parenthesis. <sup>a</sup>After LIMA graft optimization coronary perfusion remained very similar (<2% variation) to the single graft revascularization level and these results are not presented in this table.

508 significantly higher than variation in LIMA graft  
 509 resistance post-optimization. It is worthwhile to  
 510 note that single LIMA grafting in both original and  
 511 optimum designs allowed higher LAD flow (~30%)

compared to both sequential graft and healthy base-  
 line. As such, the pressure drop across the LAD  
 branches was relatively lower in both original and  
 optimal single LIMA grafts.

512  
 513  
 514  
 515



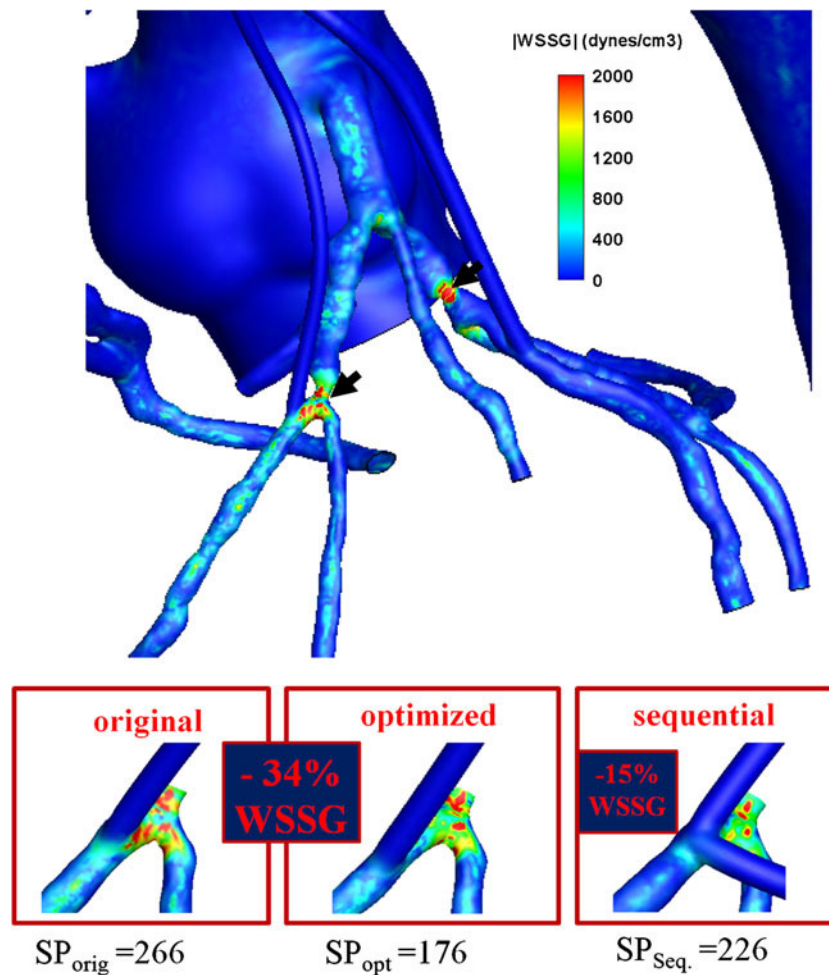


FIGURE 7. Spatial variation of wall shear stress (WSS) is quantified using WSS gradient (WSSG) (top). Elevated WSSG was found in proximity to the bifurcations and anastomosis zones (marked with black arrows), which are prone to intimal hyperplasia and atherosclerosis development.<sup>24</sup> 3D evaluation of the optimized LIMA graft indicated significant WSSG relief (~34%) in agreement with the 2D simulations (bottom). WSSG was lowered by 15% proximal to LAD and diagonal bifurcation for the sequential graft in comparison to the original graft.

516 WSSG was elevated significantly in proximity of the  
 517 bifurcations and anastomosis zones, where the flow  
 518 mixing from multiple branches alters unidirectional  
 519 flow pattern as shown in Fig. 7. 3D evaluation of the  
 520 optimized LIMA graft indicated significant WSSG  
 521 relief (~34%) in agreement with the 2D simulations. In  
 522 comparison to the original LIMA grafts, WSSG was  
 523 lowered by 15% proximal to LAD and diagonal  
 524 bifurcation of sequential graft. Hence, LAD-LCX  
 525 sequential graft provided improved hemodynamics as  
 526 an alternative to the single LAD and LCX bypasses.

527 Coronary flow along the first level CA tree mani-  
 528 fested a non-Newtonian blood rheology according to  
 529 Ballyk blood rheology model (Fig. 8).  $I_L$  was elevated  
 530 along the torturous sections of RCA, dilations  
 531 and bifurcations within the left coronary artery tree,  
 532 where the strain rate was reduced considerably.  
 533 Carreau model produced relatively higher  $I_L$  due to the

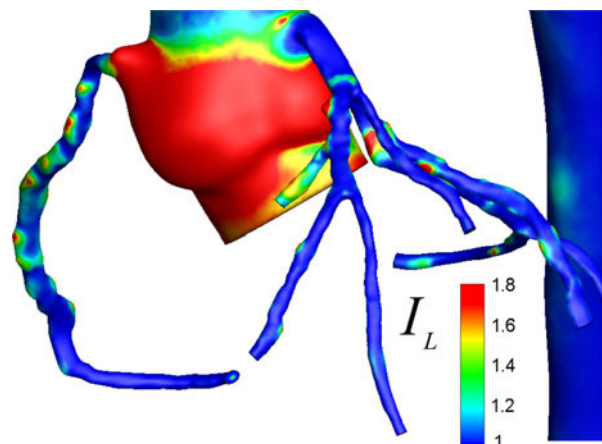


FIGURE 8. Distribution of non-Newtonian importance factor,  $I_L$  is plotted on the coronary artery model (based on Ballyk model). Shear-thinning rheology of the coronary flow was significant along the modeled coronary artery tree (i.e., from the sinus to the end of the first generation branches).

534 well-known under-estimation of the blood viscosity in  
535 high shear zones.<sup>21</sup>

## 536 DISCUSSION

537 For the fixed distal and proximal anastomosis sites,  
538 i.e., not necessarily the anastomosis angles, multi-scale  
539 CFD coupled shape optimization was used to  
540 investigate the optimal *in vivo* shape of the CABGs.  
541 Preceding the 2D formal shape optimization, 3D  
542 patient-specific CFD simulations validated the utility  
543 of the proposed 2D CABG optimization method and  
544 evaluated the hemodynamic performance of the  
545 selected CABG configurations. Starting the surgical  
546 design process with reduced order 2D optimization  
547 allowed a narrower the search space and a computa-  
548 tionally efficient framework, which provided fast  
549 evaluation of initial design alternatives. Optimization  
550 results for the LIMA graft indicated that the WSSG at  
551 the anastomosis zone can be reduced by ~30% between  
552 suboptimal and optimal configurations. Clinically, the  
553 lower WSSG may translate to improved hemodynamic  
554 performance; in turn, it will provide higher patency  
555 rates to prevent postoperative graft restenosis. We  
556 demonstrated that hemodynamics efficiency of the  
557 LIMA graft depended not only on the anastomosis  
558 angle but also on the vessel curvature for the fixed  
559 anastomosis angle. Therefore, for the first time in liter-  
560 ature, this study identified the importance of the bulk  
561 shape of the CABG, which has been overlooked pre-  
562 viously. In addition, high WSSG identified proximal to  
563 CA bifurcations may provide a guideline for selecting  
564 the distal anastomosis site to prevent postoperative  
565 graft restenosis.

566 According to our patient-specific 3D CFD analysis,  
567 sequential grafting improved the local hemodynamics  
568 proximal to LAD and diagonal bifurcation by lower-  
569 ing the local WSSG and WSS in comparison to origi-  
570 nal single LIMA grafting. These results promise higher  
571 potency for the sequential grafting method and agree  
572 with previous results based on idealized models<sup>45</sup> and  
573 the long term follow up studies favoring the perfor-  
574 mance of composite grafting over single grafts.<sup>7,8,52</sup> As  
575 opposed to the clinical data<sup>30</sup> and results based on  
576 circuit-analog lumped models of coronary circula-  
577 tion,<sup>38</sup> sequential grafting failed to increase proximal  
578 graft (LIMA) flow. For the present 3D model, the  
579 distal end of the sequential graft was anastomosed  
580 approximately at a similar peripheral distance from the  
581 coronary sinus in comparison to the distal anastomosis  
582 site of LIMA graft. Hence, the downstream pressure at  
583 the distal end of the sequential graft, which affects the  
584 graft flow and patency,<sup>29</sup> was similar to downstream  
585 pressure at the distal end of the LIMA graft. Future

clinical and numerical studies should investigate the  
effect of peripheral anastomosis of the distal end of the  
sequential graft to improve the proximal graft flow.

Results based on two common blood rheology  
models indicated that shear-thinning behavior of the  
blood flow was significant along the modeled coronary  
artery tree (i.e., from the sinus to the end of the first  
generation branches). Hence, our findings suggest that  
analysis and optimization of the coronary flow con-  
duits requires incorporating non-Newtonian blood  
rheology.

Various cost functions employed in this study  
highlighted the necessity for reliable case-specific cost  
functions for hemodynamics optimization problems  
confirming the earlier cardiovascular CFD-coupled  
optimal shape studies.<sup>25</sup> Shape optimization based on  
energy efficiency indices and  $\Phi$  resulted in small cur-  
vature topology, indicating that tortuosity of the  
CABG affects the rotational (dissipative) characteris-  
tics of blood flow. We suspect that the influence of  $\Phi$   
will be more prevalent in the presence of transient flow  
regimes and the retrograde flow borne flow structures.  
Future optimization studies under pulsatile coronary  
flow settings should investigate the importance of  
oscillating shear index and flow recirculation at the  
anastomosis site. Oscillating shear index has been  
correlated strongly with vasoregulation and disease  
states.<sup>24</sup> The current optimization paradigm incorpo-  
rated the anatomical constraints (pulmonary vascula-  
ture, location of aorta, and heart) based on a single 2D  
CT slice. Under transient flow conditions, an optimi-  
zation paradigm incorporating a large design space, to  
account for the optimal CABG design based on 3D  
anatomical constraints is needed, but requires high  
performance computing resources.<sup>32</sup>

It is worthwhile to note that results presented on  
this study were based solely on a single clinical case  
with healthy aorta-coronary tree architecture. There-  
fore, the proposed methodology needs to be expanded  
on a larger patient cohort in order to address the  
complexity which could arise in a broader spectrum of  
CABG geometries, i.e., abnormal coronary tree, con-  
genital coronary defects, flow competition between  
neighboring CABGs in comparison to the hemody-  
namics performance of a single graft. Likewise, the  
stenosis severity on each main coronary artery was  
modeled at a fixed clinically moderate level irrespective  
of the actual pathology of the patient's coronary tree.  
Future studies will identify the effect of stenosis  
severity on the performance and optimal shape of the  
graft in order to validate the proposed pre-surgical  
planning paradigm. In addition, the post-op *in vivo*  
CABG exhibits dynamic conformational variations  
due to cardiac contractions. Therefore, the results  
presented in this manuscript may also demonstrate

641 the extent of vascular resistance variation under  
642 physiological conditions. Shape optimization under  
643 dynamic myocardial loading conditions requires  
644 further investigation.

645 The CFD-coupled shape optimization framework  
646 evaluated in this study allowed fast convergence due to  
647 the efficient FEMLAB 2D solver and robust commu-  
648 nication between the optimization toolbox and FEA  
649 package, both built in MATLAB. Particularly, the  
650 third-order Bezier curves allowed easy geometry  
651 modulation and reproduced the 2D graft shape accu-  
652 rately under the consideration of *in vivo* geometrical  
653 constrains. This shows promise for extending this  
654 methodology to optimize complex connections used in  
655 reconstructive surgeries for congenital heart defects  
656 and for the future surgical planning challenges. The  
657 design paradigm developed here could be expanded to  
658 other surgical connections such as the shunts used in  
659 reconstructive surgeries for single ventricles (i.e.,  
660 Norwood Procedure) or femoral arteries (femoro-  
661 popliteal bypass), etc. Recently, we embarked upon  
662 translating the CFD-guided optimal surgical design  
663 concept to the growth and remodeling of embryonic  
664 aortic arches, which represent one of the most complex  
665 components in the cardiovascular system.<sup>55</sup> These  
666 studies highlight the prospective use of the CFD-  
667 coupled shape optimization approach in cardiovascu-  
668 lar research and point to future directions for  
669 improving understanding of optimal surgical design  
670 and cardiovascular function.

## 671 CONCLUSIONS

672 An automated framework for coupling optimal  
673 shape design to non-Newtonian blood flow simulation  
674 in multi-scale patient-specific cardiovascular geome-  
675 tries is demonstrated. The proposed sketch-based sur-  
676 gical planning paradigm evaluated the selected  
677 coronary bypass surgery procedures based on local  
678 hemodynamics and acute hemodynamic readjustments  
679 of aorta-CA flow. Our results indicated lower local  
680 WSSG for both the optimized LIMA graft and the  
681 LAD-LCX sequential graft in comparison to the  
682 original LIMA graft. This procedure may provide a  
683 rational to aid surgical decision-making process in  
684 time-critical, patient-specific CA bypass operations  
685 before the *in vivo* execution. We showed that the SQP  
686 optimization method realizes robust convergence pro-  
687 vided the proper cost function and initial condition are  
688 selected. Optimal shape design requires evaluating the  
689 relation between the cost functions and flow regions,  
690 which would cause CABG failure. It is also critical to  
691 understand the behavior of each cost function as it

pertains to design quality. Future studies should 692  
benefit from multi-objective optimization to minimize 693  
local flow disturbances (WSSG) and flow rotationality 694  
( $\Phi$ ), and to maximize the conduit energy efficiency, 695  
concurrently. Specifically, it is required to optimize the 696  
anastomosis geometry as well as the graft length and 697  
transitional curvature to achieve hemodynamic char- 698  
acteristics that promote failure-free bypass conduits. 699  
701

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